

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

3728-109US

Application Number

Applicant(s)

STEPHEN A. RAGO

Filing Date

Herewith

Group Art Unit

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,257,369	10/1993	Skeen, et al.			
		5,640,559	06/1997	Silberbauer, et al.			
		5,761,739	06/1998	Elko, et al.			
		5,768,532	06/1998	Megerian			
		5,857,195	01/1999	Hayashi, et al.			
		5,905,987	05/1999	Shutt, et al.			
		5,950,203	09/1999	Stakuis, et al.			

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

MA		[1] Bach, Maurice J. The Design of the Unix Operating System. Prentice-Hall, Englewood Cliffs, NJ. 1986.
		[2] CrosStor Software, Inc. CIFS Extensions for SANs. White Paper, May 10, 1999.

EXAMINER

*M. J. Rago*

DATE CONSIDERED

8/20/02

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**INFORMATION DISCLOSURE CITATION***(Use several sheets if necessary)*

Docket Number (Optional)

**3728-109US**

Application Number

Applicant(s)

**STEPHEN A. RAGO**

Filing Date

**Herewith**

Group Art Unit

**\*EXAMINER  
INITIAL****OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)***[3] CrosStor Software, Inc. Adapting NAS Protocols to SANs. White Paper, May 10, 1999.****[4] Entropic Research Laboratory, Inc. ESPS/waves+ with EnSig™ Application Notes.  
Chapter entitled "Non-ESPS Programs and the ESPS File System." Release 5.3, 1998.  
<http://www.ling.ed.ac.uk/help/entropic/>****[5] NCSA. HDF5 Reference Manual. Release 1.2, October 1999.****EXAMINER****DATE CONSIDERED****\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.**



Substitute Form PTO-1449 (Modified)  <b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. <b>07072-133001</b>	Application No.
	Applicant <b>Stephen A. Rago</b>		
	Filing Date <b>October 4, 2000</b>	Group Art Unit	

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
MA	AA	5,257,369	10/26/1993	Skeen et al.			
MA	AB	5,640,559	06/17/1997	Silberbauer et al.			
MA	AC	5,761,739	06/02/1998	Elko et al.			
MA	AD	5,768,532	06/16/1998	Megerian			
MA	AE	5,857,195	01/05/1999	Hayashi et al.			
MA	AF	5,905,987	05/18/1999	Shutt et al.			
MA	AG	5,950,203	09/07/1999	Stakuis et al.			
	AH						
	AI						
	AJ						
	AK						

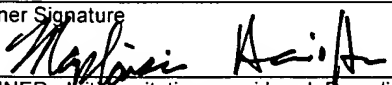
RECEIVED  
MAY 8 2001  
Technology Center 2100

**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
MA	AQ	CrosStor Software, Inc., CIFS Extensions for SANs, White Paper, May 10, 1999
MA	AR	CrosStor Software, Inc., Adapting NAS Protocols to SANs, White Paper, May 10, 1999
MA	AS	Entropic Research Laboratory, Inc., ESPS/waves+with EnSig™ Application Notes, Chapter entitled "Non-ESPS Programs and the ESPS File System," Release 5.3, 1998. <a href="http://www.ling.ed.ac.uk/help/entropic/">http://www.ling.ed.ac.uk/help/entropic/</a>
MA	AT	NCSA, HDF5 Reference Manual, Release 1.2, October 1999

Examiner Signature 	Date Considered <b>8/20/02</b>
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	